



## Abundance and temporal trends of fin whales in the Eastern Midriff Islands Region, Gulf of California, Mexico

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2 Region, Gulf of California, Mexico

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17

**18 Abstract**

19 The fin whales (*Balaenoptera physalus*) in the Gulf of California comprise a resident population genetically  
20 isolated from the rest of the North Pacific. The species occurs seasonally in the biologically productive  
21 Eastern Midriff Islands Region (EMIR), located between 28.4°N and 29.4°N and 111.9°W and 112.8°W in  
22 the central portion of the Gulf of California, in which the present study estimated fin whale abundance  
23 using photo-identification data collected via weekly small-boat surveys conducted from 2009 to 2017. In  
24 total, 1,082 fin whales were recorded in 454 sightings, during 287 surveys that totaled 1,924 hr of research  
25 effort. After a photo comparison process that used photographs from both sides of the dorsal fin, 376  
26 unique individuals were identified. Only 180 capture histories were used for mark-recapture analysis. A  
27 mark ratio of 0.48 was obtained which was used to inflate the abundance estimates. A Jolly-Seber/POPAN  
28 mark-recapture model, incorporating a transience effect on apparent survival, yielded a superpopulation  
29 size of  $\hat{N} = 462$  ( $SE_{Un} = 41.45$ , 95%CI [381 – 543]). The estimates for the years 2010-2016 were fairly  
30 constant, from 211 (95% CI [126-293]) to 313 (95% CI [232-395]), with confidence intervals having  
31 substantial overlap. A CJS model with two classes was used to estimate probability of survival, with the  
32 “transient” class having a  $\phi_t = 0.68$  ( $SE = 0.061$ , 95%CI [0.55– 0.78]), and individuals seen multiple  
33 times a  $\phi_m = 0.915$  ( $SE = 0.034$ , 95% CI[0.82– 0.96]). A hierarchical Bayesian time series analysis of  
34 encounter rates collected from 2012 to 2017 showed evidence of seasonality, with whales mostly present  
35 during the cold season (December-May), which coincides with the local upwelling regime. This information  
36 adds to the value of the EMIR as an important area for fin whale conservation in the Gulf of California.

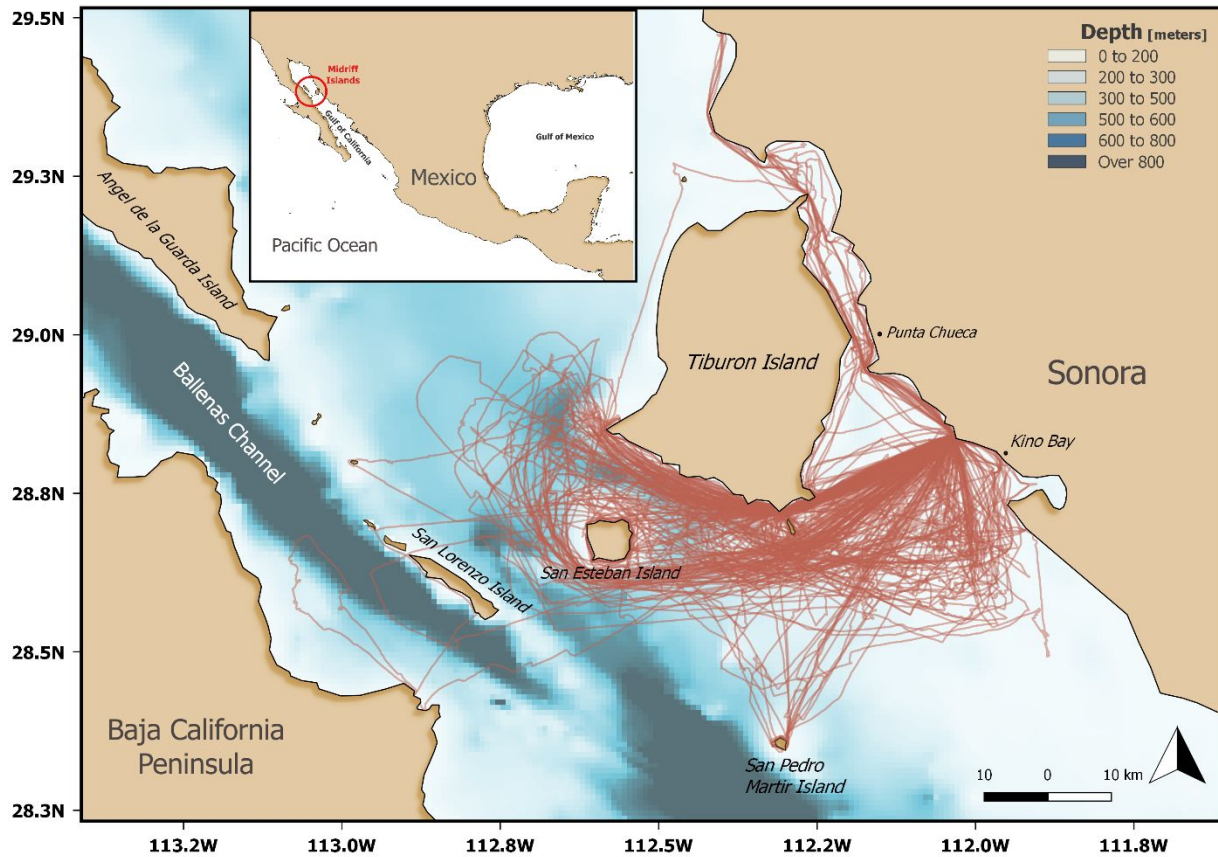
**37 KEYWORDS**

38 abundance estimation, *Balaenoptera physalus*, Bayesian time series, mark-recapture, population  
39 dynamics

## 40 1 | INTRODUCTION

41 Fin whales (*Balaenoptera physalus* Linnaeus, 1758) are a Mysticete species with a worldwide distribution  
42 and occurring in all major oceans, mostly at temperate and polar latitudes (Jefferson et al., 2015). In the  
43 eastern North Pacific, a small, resident, and genetically-isolated population occurs in the Gulf of  
44 California (Bérubé et al., 2002; Rivera-León et al., 2019; Tershy et al., 1993; Urbán-Ramírez et al., 2005),  
45 having been founded approximately 9,000 years ago (Pérez-Álvarez et al., 2021). This population, as well  
46 as all fin whales and other cetaceans in Mexican waters, are protected by Mexican federal law (NOM-  
47 059-SEMARNAT-2010; SEMARNAT, 2019).

48 The Gulf of California is a marginal sea in the eastern Pacific Ocean, located between the Baja  
49 California Peninsula and mainland Mexico (20°-32°N and 105.5°-114.5°W, Fig. 1) (Espinosa-Carreón &  
50 Valdez-Holguín, 2007), with an approximate length of 1,400 km and an area of 259,000 km<sup>2</sup> (Álvarez-  
51 Borrego & Lara-Lara, 1991). The sea floor depth ranges from 200 m in the north to more than 3,600 m in  
52 the south (Lluch-Cota et al., 2007). The gulf contains more than 900 islands, with the largest in the  
53 Midriff Islands Region to its north (Álvarez-Borrego & Lara-Lara, 1991), which has a variety of underwater  
54 ridges, channels, and landforms. The strong and stable water column mixing observed in the region is  
55 influenced mainly by upwelling and tidal regimes, which result in high primary productivity (Álvarez-  
56 Borrego, 2010), creating a habitat on which rely several species of sea birds (Anderson et al., 2017) and  
57 marine mammals (Urbán-Ramírez et al., 2005). Four seasons have been defined in the Gulf of California,  
58 based on meteorological and oceanographic processes related to upwelling and primary productivity.  
59 Two relatively brief transition periods (November and June) separate the warm season, occurring from  
60 July to October, from the cold season, occurring from December to May (Álvarez-Borrego & Lara-Lara,  
61 1991; Burgos-Othón, 2018; Hidalgo-González & Álvarez-Borrego, 2001).



62

63 **FIGURE 1** Study area in the Eastern Midriff Islands Region (EMIR) in the Gulf of California, Mexico. The  
 64 GPS tracks in red represent the surveys undertaken from 2012 to 2017.

65

66 Fin whales occur throughout the Gulf of California, with their movements there seeming not to follow a  
 67 regular or predictable pattern (Urbán-Ramírez et al., 2005). Some satellite-tagged animals ( $n = 8$ ) were  
 68 observed to spend the cold season in the southwestern gulf (Loreto-La Paz corridor) and the waters  
 69 between Santa Rosalía and the southern Midriff Islands Region, moving northward along the gulf's  
 70 western margin. During the warm season, these individuals moved within the central gulf and did not  
 71 reach the eastern margin, located south of the Midriff Islands Region (Jiménez-López et al., 2019).

72 However, fin whales are also known to occur along the gulf's eastern coast (Enríquez-Paredes, 1996;  
73 Martínez-Villalba, 2008).

74 According to the International Union for Conservation of Nature (IUCN), the abundance of fin  
75 whales worldwide is thought to be around 100,000 individuals (Cooke, 2018), although no current  
76 population estimates are specifically available for the North Pacific. The last assessment of the North  
77 Pacific western stock, accepted by the International Whaling Commission Scientific Committee, and  
78 conducted in the 1970s, estimated the number at 17,000 individuals (Allen, 1977; Ohsumi & Wada,  
79 1974). Published estimates of fin whale abundance for the Gulf of California vary from the 291  
80 individuals photo-identified for solely the Ballenas Channel for 1983 to 1986 (Tershy et al., 1990) to the  
81 829 (95% CI [594-3,229]) estimated for the entire gulf for 1986 to 1990 (Gerrodette & Palacios, 1996).

82 Most research effort conducted on the species has focused on the western and southwestern  
83 gulf (Díaz-Guzmán, 2006; Enríquez-Paredes, 1996; Montesinos-Laffont, 2016) as well as the Ballenas  
84 Channel (Ladrón-De-Guevara et al., 2015; Tershy et al., 1990), whereas only a few sightings have been  
85 reported for the eastern coast (Martínez-Villalba, 2008), for which there is no description of seasonal  
86 variation. The previous abundance estimates have remained in unpublished theses (Díaz-Guzmán, 2006;  
87 Enríquez-Paredes, 1996; Montesinos-Laffont, 2016), while long-term abundance monitoring is required  
88 to document the increase or decrease in a population, as in this species protected by Mexican law. In  
89 addition, there are significant threats to the conservation of this species in the Gulf of California, such as  
90 the risk of collision with large ships or changes in the habitat caused by climate change. Therefore, we  
91 aimed both to estimate species abundance as well as survival rates in the Eastern Midriff Islands Region  
92 (EMIR) (28.4°N- 29.4°N and 111.9°W- 112.8°W), using photographic mark-recapture techniques, and to  
93 quantify temporal trends for the species, using data collected during weekly surveys conducted from  
94 2009 to 2017.

95

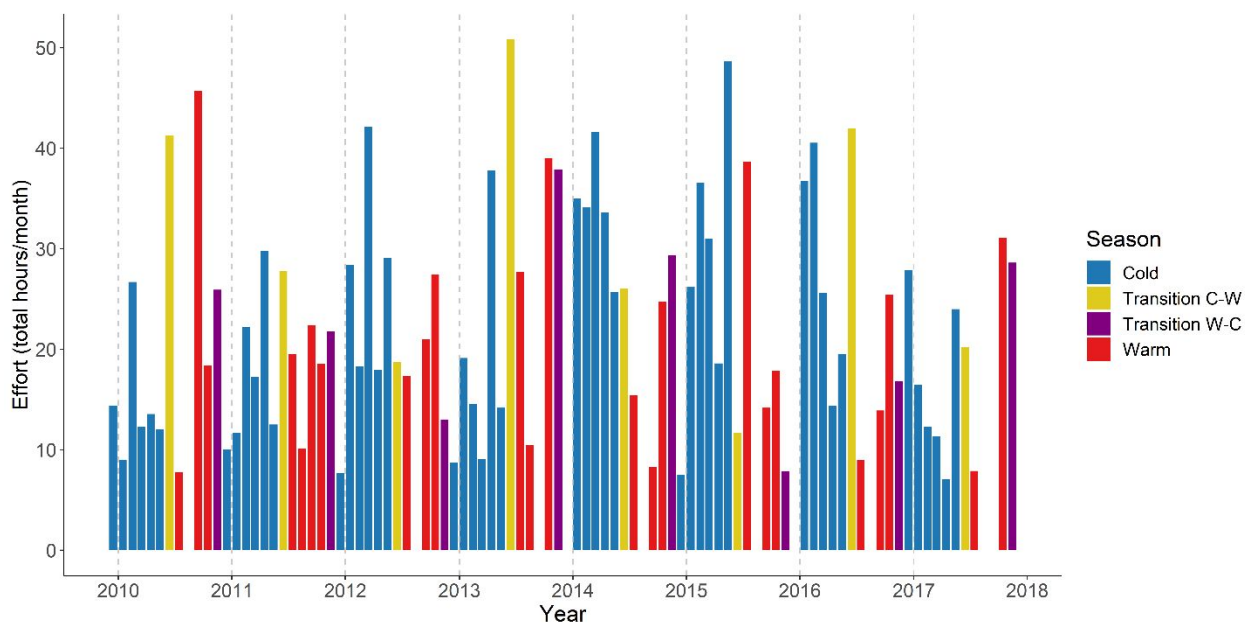
## 96 **2 | MATERIALS AND METHODS**

### 97 **2.1 | Data collection and processing**

98 Our main study area was the Eastern Midriff Islands Region (EMIR), which is characterized by coastal  
99 upwelling during the cold season. From December 2009 to November 2017, we carried out 287 surveys,  
100 on a weekly basis, in an area limited by the Sonora coast to the east, San Esteban Island to the west,  
101 Tiburon Island to the north, and San Pedro Martir Island to the south (Fig. 1). Surveys were conducted on  
102 a 7-m length boat with a 115-HP outboard engine. The search effort totaled 1,924 hr, of which 1,012 hr  
103 was carried out during the cold season, 239 hr during the cold-warm transition season, 492 hr during the  
104 warm season, and 181 hr during the warm-cold transition season (Fig. 2 - see detailed table S1, in  
105 Supporting Information). The mean search effort time per survey was 6.7 hr, with surveys conducted  
106 only in good sea conditions, that is, a maximum 3 in Beaufort scale. Taking into account the  
107 aforementioned seasons, we considered that a year in our study began in the December of one year and  
108 ended in the November of the next. To test for effort homogeneity year-on-year, we performed a non-  
109 parametric Kruskal-Wallis test on the hours navigated per day, as this variable did not present a normal  
110 distribution (Shapiro-Wilk test,  $W=0.967$ ,  $n = 287$ ,  $p < 0.001$ ). The aim of these surveys was to record and  
111 photograph as many fin whales as possible; therefore, the navigation routes followed were not pre-  
112 established, although we did try to cover the entire study area throughout each month. At least two  
113 observers searched continuously for fin whales with 7x50 hand-held binoculars, covering the area 180  
114 degrees in front of the vessel. During each sighting, we recorded the number of whales and their  
115 apparent behavior. We took photographs of individual whales with a Canon EOS 7D camera fitted with a  
116 Canon lens (70-300 mm, f/4-5.6 IS USM), focusing on the dorsal fin and the pigmentation of its  
117 surrounding area. While we prioritized taking digital photographs of the whale's right side, both sides

118 were photographed when possible. We photographed fin whales on 89% of the surveys (effort was  
 119 calculated with all surveys).

120



121

122 **FIGURE 2** Search effort hours per month during the eight years comprising the present study (2009-  
 123 2017). The data are colored depending on the seasons surveyed. Transition C-W = Transition from cold to  
 124 warm season; Transition W-C = Transition from warm to cold season.

125

126 Two independent experienced observers organized and compared photographs using the ACDSee Photo  
 127 Studio Professional 2021 program (Version 14.0). After each survey, we kept only the best photograph  
 128 per individual per sighting, selected based on optimal focus, contrast, light, parallel angle, and clear  
 129 visibility of the dorsal fin. In total, 1,243 photo-identification photographs were kept, predominantly of  
 130 the right side of the dorsal fin. Photographs were visually compared across the photo-database to  
 131 identify potential recaptures of individuals across different sightings. Calves were excluded from this

132 process and from subsequent mark-recapture analyses (Schleimer et al., 2019). Although the common  
133 protocol for fin whale photo-identification recommends two separate photographs per individual—one  
134 of the dorsal fin and another of the chevron/blaze (Agler et al., 1990), we found that reliable recaptures  
135 could be established using a single photograph that included both the natural marks (shape, nicks, scars)  
136 and distinguishable skin pigmentation on the dorsal fin.

137 Photo-identifications were graded regarding quality and distinctiveness following the protocol of the  
138 Sarasota Dolphin Research Group (Friday et al., 2000; Sarasota Dolphin Research Program, 2005; Urian et  
139 al., 2014). Quality takes into account focus/clarity, contrast, angle, partial and proportion of the frame  
140 filled by the fin. The sum of these characteristics gave each photo an overall quality score with three  
141 levels, with Q1 meaning excellent quality and Q3 meaning poor quality. A description of the score values  
142 used for photo quality is in Supplementary Information 2. “Distinctiveness” refers to how some whales  
143 are easier to mark as recapture due to their physical characteristics (shape, nicks, scars, and  
144 pigmentation) following Agler et al. (1990) (Supplementary Information 3). We gave each photo a  
145 distinctiveness rating from 1 to 3, with D1 meaning very distinctive, D2 medium level with one or two  
146 distinctive features on the dorsal fin, and D3 meaning not distinctive (Sarasota Dolphin Research  
147 Program, 2005). Based on this quality and distinctiveness classification, we only kept individuals with  
148 photo qualities Q1 and Q2 and distinctiveness D1 and D2.

149 Photo comparisons were first conducted within the same year, followed by a year-to-year paired  
150 comparison. For each year, the best photograph per differentiated individual was selected to build an  
151 annual catalog, which was then cross-referenced year-to-year to establish recaptures. The comparison  
152 process of the right and left sides was analyzed separately. However, using detailed field notes that  
153 documented side-specific encounters, we linked right- and left-side identifications to the same individual  
154 when morphological features were aligned. A final step involved comparing the right-side inter-annual  
155 catalog with “unique lefts”—left-side photographs with no associated right-side match—to resolve

156 additional recaptured individuals. In all cases, a match was confirmed only when at least two trained  
157 observers reached consensus. Based on these photo-identification results, we compiled a comprehensive  
158 catalog and constructed (encounter) recapture histories for each individual to support mark-recapture  
159 analysis.

160 A rate of discovery curve was constructed showing the number of new individuals added to our catalog  
161 related to the number of total photo-identifications analyzed. It is important to note that this includes  
162 intra-yearly photo-identifications. After a comparison in each year only one photo passed to the inter-  
163 yearly comparison which is why the total number of photographs in the catalog is less than shown in this  
164 graph (Fig. 4). As can be observed the graph begins to curve down, indicating an increasing number of  
165 recaptures (Darling & Morowitz, 1986). Another observation is that some years had considerably less  
166 photo-identifications collected, even though the survey effort was the same.

167

## 168 **2.2 | Estimation of survival and abundance**

169 The issue of reliable identification can bias abundance estimates. After removing the individuals with the  
170 lowest photo quality (Q3) and distinctiveness score (D3), we obtained the encounter histories for 180  
171 individuals. We used these for mark-recapture analysis to estimate abundance and survival.

172 We carried out a goodness-of-fit (GOF) test on the fully parameterized Cormack-Jolly-Seber (CJS) model  
173 using the *R2Ucare* package (Gimenez et al., 2018). To adjust for extra-binomial variation we obtained an  
174 overdispersion factor (Burnham & Anderson, 2002; Lebreton et al., 1992) from the R2UCare CJS GOF  
175 test ratio:  $\hat{c} = \frac{\chi^2}{df}$ . We used the Jolly-Seber open capture-recapture model to estimate abundance and  
176 the Cormack-Jolly-Seber model (CJS) to estimate survival using RMark (Laake, 2013) and MARK v10.1  
177 (White & Burnham, 1999). Transience was addressed with a time varying indicator for where an  
178 individual was in its first survival interval, which was adjusted for this study after the work of Schleimer

179 et al. (2018) in R. Transience was codified as a binary time design variable, not a usual covariate, since it  
180 was derived from the capture histories. It resembles a design variable, and is a string of digits with a 1  
181 marking the sampling period where an individual was seen for the first time.

182 We used the Jolly-Seber model (Jolly, 1965; Seber, 1965) in its POPAN parameterization (Schwarz &  
183 Arnason, 1996) to obtain yearly abundances as well as the superpopulation size. The superpopulation  
184 consists of all individuals present in the study area between 2009 and 2017. The Jolly-Seber model is an  
185 open capture-recapture model that permits births and deaths, as well as immigration and emigration.  
186 Most of the model's key assumptions are similar to the CJS model but with the addition of assumptions  
187 about unmarked individuals. It assumes the following: 1) The probability of capture for marked and  
188 unmarked animals is the same for each occasion; 2) All animals have the same apparent survival  
189 probability; 3) Marked animals do not lose their markings; 4) The duration of one capture occasion is  
190 negligible compared with the duration of the subsequent sampling occasions; and 5) Individuals  
191 observed on each occasion are a random sample of the population visiting the region (Amstrup et al.,  
192 2005; Jolly, 1965; Schwarz & Arnason, 1996; Seber, 1965).

193 We adjusted the Akaike Information Criterion (AIC) (Akaike, 1985; Burnham & Anderson, 2002), taking  
194 into account the small sample size and the overdispersion parameter  $\hat{c}$ . Model ranking was based on  
195 QAIC<sub>c</sub> (Quasi-Akaike Information Criterion). We carried out model averaging for derived parameters as  
196 well as the real parameters  $\phi$  and  $p$ , in MARK. The contribution of each model to the average parameter  
197 results presented is proportional to its corresponding QAIC<sub>c</sub> weight and was calculated automatically by  
198 MARK. For POPAN we kept survival constant (.), varied it by time (*time*), used a temporal linear trend  
199 (Time), considered the transient effect (lower apparent survival for individuals seen only once), an  
200 additive effect (~trans+time) influencing the parameter independently, and an interaction term  
201 (~trans:time). Capture probability and probability of entry were modeled as constant, time dependent or  
202 with a temporal linear trend. Population size was kept constant.

203 Furthermore, we estimated a mark ratio (based on Urian et al., 2015):

$$204 \quad MR = \frac{n_m}{n_t}$$

205 Where  $n_m$  represents the marked individuals selected with photo qualities Q1 and Q2, and  
206 distinctiveness D1 and D2, and  $n_t$  is the total number of individuals in the catalog. The mark ratio was  
207 used in the total estimated abundance:

$$208 \quad \hat{N}_{total} = \frac{\hat{N}_m}{MR}$$

209 Where  $\hat{N}_m$  is the estimated abundance from marked individuals selected and  $MR$  is the mark ratio.

210 The CJS models were developed with two classes to obtain separate estimates for survival and recapture  
211 probability in MARK. In the present study, we used the  $a2$  notation to differentiate the classes  
212 “transients” for individuals seen only once, and the rest, individuals seen multiple times, for which we  
213 used the subscript “m” for multiple recaptures. For both parameters we tried time dependence per class  
214 or fixing the parameter as constant. For both cases only the top models, the ones that contained  
215 relevant information measured as fit through QDeviance or the lowest QAICc, were used to estimate  
216 survival.

217

### 218 **2.3 | Time series analysis**

219 To ascertain temporal variations in the fin whale population in the EMIR, we analyzed the whale  
220 counts obtained (observed whales), relative to the survey effort in hours, as a function of time, in order  
221 to identify possible signals, such as the long-term trend, the seasonal pattern, and the interannual  
222 variations from 2010 to 2017. We stated these signals as the fixed and random effects in a Bayesian  
223 regression analysis conducted on several alternative models, using the integrated nested Laplace

224 approximation (INLA; Rue et al., 2009) in R (R Core Team, 2023). The graphs were created using the  
225 *ggplot2* package (Wickham et al., 2022), while the *dplyr* package was used for data organization, along  
226 with the rest of the *tidyverse* (Wickham et al., 2019). Both to avoid the noise generated by counts  
227 conducted on a weekly basis and given that the seasonal scale was the highest frequency we aimed to  
228 identify, the first step was to estimate one-month running means for the counts and the survey effort in  
229 hours, in order to estimate the encounter rates. The INLA time series analysis undertaken followed the  
230 methods described by Gómez-Rubio (2020) and Ravishanker et al. (2023). The long-term trend was  
231 evaluated as a fixed effect of the continuous Julian day with up to three different alternative polynomial  
232 degrees. The seasonal pattern was stated as a cyclical random-walk effect based on the bimester of the  
233 year, as INLA requires that all the sample units for this type of random effect are represented in the data.  
234 The longitudinal nature of the data, and, therefore, its temporal autocorrelation was acknowledged in  
235 the model by introducing an autoregressive random effect on the bimester count (i.e., Julian bimester),  
236 corresponding to orders 1 or 2. Finally, the interannual signal was estimated as an autoregressive  
237 random effect of the year count, with different alternative orders of up to four. We used a Gaussian  
238 likelihood for the logarithmic transformation of the encounter rates as the response variable. In total, 20  
239 alternative models were tested, with the best model chosen based on the lowest Watanabe-Akaike  
240 Information Criterion (WAIC). The mean predictive integral transform (PIT) and the Bayesian R-squared  
241 were also estimated for each model, as indices of fit and model accuracy, respectively. Some of these  
242 models included all of the four effects described, while others included only some of them. The complete  
243 structures of the best ten candidate models are presented in the *Results* section (Table 7), sorted into  
244 ascending WAIC.

245

246 **3 | RESULTS**

247           Between 2009 and 2017, we recorded 1,082 fin whales in 454 sightings, with no statistically  
 248 significant differences found in effort among the years studied (Kruskal-Wallis test,  $H = 7.46$ ,  $p = 0.38$ ).  
 249 We observed surface lunge feeding in 9.7% of all sightings. Over the eight years for which the study was  
 250 conducted, the process of comparing and matching photographs resulted in the identification of 376  
 251 unique individuals in the region, based on photos taken of both sides of the dorsal fin. The final inter-  
 252 yearly comparison process comprised 892 photo-identifications, from both sides of the dorsal fin (Fig. 3).  
 253 Our catalog had 559 photo-identifications, with only the best photo from each side for each of the 376  
 254 individuals (if available). After the removal of individuals with Q3 and D3 we kept 180 individuals for  
 255 capture-recapture analysis. Therefore, the mark ratio was 0.48. The fin type distribution of differentiated  
 256 individuals is reported in Table 1.

257

258 **TABLE 1.** Fin type distribution for the Eastern Midriff Islands Region catalog. Total number of photo-  
 259 identifications in the catalog was 559, with maximum two photos per individual (both sides of the dorsal  
 260 fin). Total number of individuals in the catalog was 376. See Supporting Information 3 for fin type  
 261 description.

Fin type	In catalog	%	No. with nicks	No. with scars	No. without nicks or scars
A	144	38.3	77	140	7
B	13	3.5	8	12	0
C	44	11.7	8	41	3
D	85	22.6	35	84	1
E	67	17.8	31	61	5
F	1	0.3	1	0	0
O	22	5.8	18	21	0

262

263



264 **FIGURE 3** Photo-identification recaptures for individual 121 - both left and right side of the dorsal fin. In  
 265 this case, the scar midway up the dorsum helped to confirm the photo-identification recaptures of this  
 266 individual.

267

268 Notably, most photo-identifications and sightings occurred in the years 2009-2010 and 2013-2015 (Fig.  
 269 4). A large proportion of the individuals (60.7%;  $n = 224$ ) were sighted only once in the study area, which  
 270 coincides with the transience observed during the GOF testing. The highest number of photo-  
 271 identifications (145) occurred during the year 2013-2014. The year with the lowest number of photo-  
 272 identifications was 2011-2012, with 39. The year with the highest number of recaptures was 2013-2014,

273 with 46 (Table 2), indicating, at halfway through the course of the study, an increase in the discovery  
 274 curve (Fig. 4). The lowest number of recaptures (4) was observed in 2016-2017, the last year in which the  
 275 study was conducted. The rate of discovery of new individuals to the catalog decreased after 2012,  
 276 which indicates that recaptures increased in percentage after this time (Fig. 4).

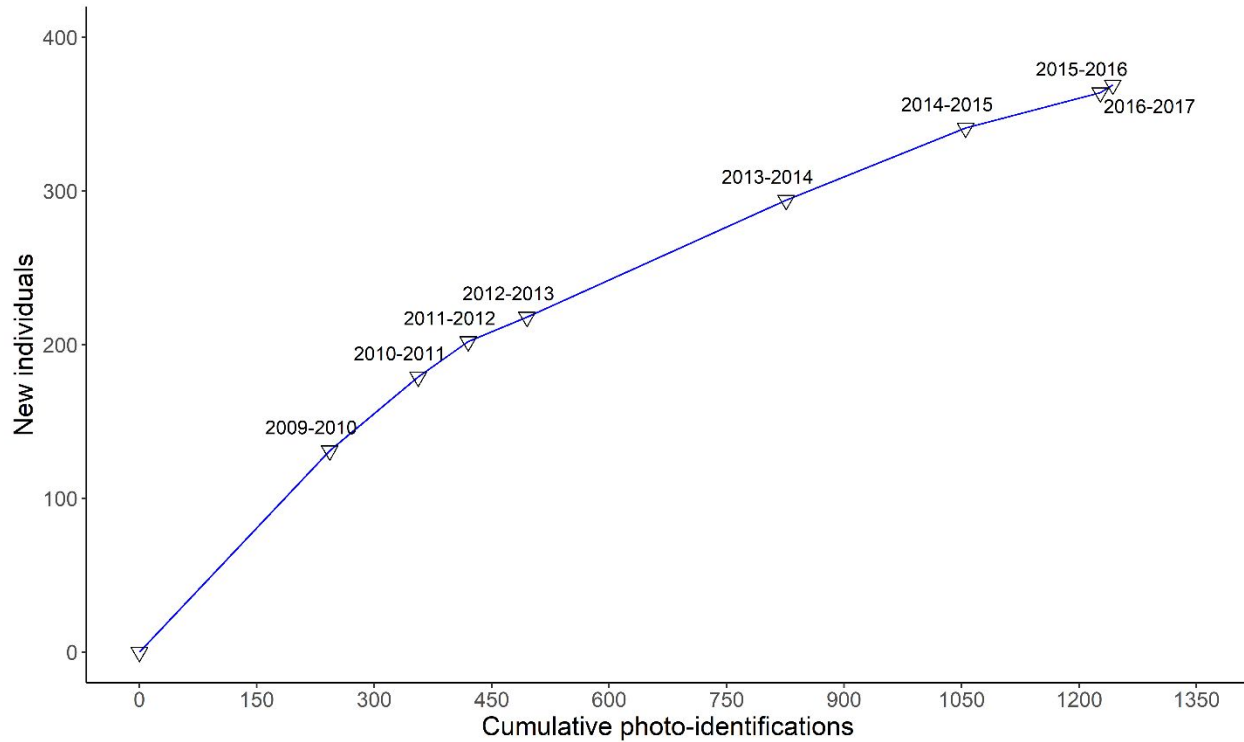
277

278 **TABLE 2** *m-reduced matrix*, resulting from the integration of the information pertaining to both sides of  
 279 the dorsal fin into one catalog, detailing the information contained in the fin whale capture histories for  
 280 the present study.  $i, j$  = years;  $R(i)$  = individuals observed;  $m(i, j)$  = individuals observed during  $i$  that were  
 281 first recaptured during  $j$ ;  $r(i)$  = recaptures in  $i$ ; and  $m(j)$  = recaptures in  $j$ .

Year ( $i$ )	$R(i)$		$m(i, j)$						$r(i)$
	2010- 2011	2011- 2012	2012- 2013	2013- 2014	2014- 2015	2015- 2016	2016- 2017		
2009-2010	81	17	5	7	9	4	4	0	46
2010-2011	41	9	5	9	2	1	0	0	26
2011-2012	27		6	12	4	0	0	0	22
2012-2013	23			16	1	0	0	0	17
2013-2014	85				31	8	3	0	42
2014-2015	58					29	1	0	30
2015-2016	43						0	0	0
$m(j)$		17	14	18	46	42	42	4	

282

283



284

285 **FIGURE 4** Rate of discovery of new individuals in the photo catalog, related to the number of photo-  
 286 identifications analyzed. The total number of photoidentifications used from both sides of the dorsal fin  
 287 for all individuals in this study, including intra-yearly recaptures.

288

### 289 3.1 | Abundance estimation

290 After the removal of individuals that did not have sufficient quality and distinctiveness in the catalog, we  
 291 kept 180 capture histories, from the original 376 individuals. This means that the mark ratio for our  
 292 study was  $MR = \frac{180}{376} = 0.479$ .

293 The summary information for the 180 capture histories used for estimating abundance is shown as an  $m$ -  
 294 reduced matrix (Table 2). The CJS R2UCare GOF test results showed that the CJS model fit the data  
 295 ( $X^2_{OVERALL} = 25.802, df = 22, p = 0.26$ ). Neither the tests for evidence of trap-dependence (TEST2.CT)  
 296 nor transience (TEST.3Sr) showed statistical evidence for a lack of fit (Table 3). Closer inspection of the

297 subcomponents for TEST3.Sr had only one year with a statistically significant deviation (2015-2016, Table  
 298 3). Even though there was no evidence for systematic transience during GOF testing, addressing it  
 299 improved the QDeviance and QAICc in the models that incorporated it. The estimated overdispersion  
 300 correction factor obtained was  $\hat{c} = 1.172818$  which was used for model selection and variance inflation  
 301 both for the CJS and POPAN models, since separate GOF tests are unavailable for POPAN.

302

303 **TABLE 3** Goodness-of-fit test results for the Cormack-Jolly-Seber model per subcomponent. TEST2.CT  
 304 checks for trap dependence. The transient subcomponent 3.SR is shown per year. The only statistically  
 305 significant  $p$ -value is highlighted in bold.

Test	Component	$\chi^2$	$df$	$p$ -value
General	TEST2.CT	9.71	5	0.084
General	TEST2.CL	2.865	6	0.826
General	TEST3.Sm	3.58	6	0.734
General	TEST3.Sr	9.65	5	0.086
3.Sr Sub C.	2011-2012	0.644	1	0.422
3.Sr Sub C.	2012-2013	0.345	1	0.557
3.Sr Sub C.	2013-2014	0.313	1	0.576
3.Sr Sub C.	2014-2015	2.028	1	0.154
3.Sr Sub C.	2015-2016	6.320	1	<b>0.012*</b>
3.Sr Sub C.	2016-2017	0	0	0

306

307 The best POPAN model was  $\phi_{(trans:Time)}p_{(time)}pent_{(time)}N_{(c)}$  according to the QAICc weights (Table 4), it  
 308 was also the one that best supported the data according to its QDeviance, which was the lowest in the  
 309 model table. Only the models that had a relevant measure of QAICc are included, even though more  
 310 models were run. Note that the parameter count reported is different than what is expected  
 311 theoretically due to aliasing, meaning that MARK could not estimate it due to model structure

312 constraints, identifiability issues or redundancy or sparse data. The values presented are as reported  
 313 from RMark, to avoid penalizing models where parameters were not estimated properly. It was apparent  
 314 from observing the beta and real estimates that there were estimation problems for some of them,  
 315 which is why the number reported may differ from what is theoretically expected. Model averaging was  
 316 done in MARK, which uses the QAICc weights to determine the contribution of each model to the  
 317 averaged value.

318

319 **TABLE 4** Jolly-Seber POPAN models, descriptive statistics, and QAIC<sub>c</sub> support for model selection.

320 Parameters:  $\phi$  = survival probability;  $p$  = capture probability;  $pent$  = entry probability; and  $N$  = abundance  
 321 estimate. A dot in parentheses (.) indicates that the parameter is constant for all occasions, while (*time*)  
 322 indicates time dependence, corresponding to a different parameter value for each occasion, (*T*) indicates  
 323 a temporal linear trend.

Rank	Model	Parameters	QAIC <sub>c</sub>	ΔQAIC <sub>c</sub>	QAIC <sub>c</sub> weight	QDeviance
I	$\phi_{(trans:Time)}p_{(time)}pent_{(time)}N_{(.)}$	15	734.41	0	0.27272	703.0262
II	$\phi_{(trans)}p_{(time)}pent_{(time)}N_{(.)}$	15	734.81	0.4053	0.2227	703.4314
III	$\phi_{(trans+Time)}p_{(time)}pent_{(time)}N_{(.)}$	16	735.88	1.4679	0.13091	702.3051
IV	$\phi_{(trans)}p_{(time)}pent_{(T)}N_{(.)}$	13	736.96	2.5482	0.07628	709.9148
V	$\phi_{(trans:Time)}p_{(time)}pent_{(.)}N_{(.)}$	12	737.00	2.5944	0.07453	712.1125
VI	$\phi_{(trans:Time)}p_{(time)}pent_{(T)}N_{(.)}$	13	737.05	2.6419	0.07279	710.0084
VII	$\phi_{(trans)}p_{(time)}pent_{(.)}N_{(.)}$	12	737.05	2.6438	0.07272	712.1619
VII	$\phi_{(trans+Time)}p_{(time)}pent_{(Time)}N_{(.)}$	14	738.26	3.8515	0.03975	709.0541
VIII	$\phi_{(trans+Time)}p_{(time)}pent_{(.)}N_{(.)}$	13	738.37	3.9627	0.0376	711.3292

324

325 The model-averaged superpopulation estimate was  $\hat{N} = 222$  (unconditional SE = 19.9, 95%CI [183 – 261]),  
 326 the percentage of variation attributable to model variation was 22.16 %. After inflating with the mark

327 ratio ( $MR=0.48$ ) the superpopulation estimate was  $\hat{N} = 462$  ( $SE_{Un} = 41.45$ , 95% CI [381 – 543]). The  
 328 estimates for the years 2010-2016 were fairly constant, from 211 (95% CI [126-293]) to 313 (95% CI  
 329 [232-395]), with confidence intervals having substantial overlap (Table 5).

330

331 **TABLE 5** Jolly-Seber POPAN model averaged annual abundance estimates, inflated with the mark ratio  
 332 ( $MR=0.48$ ). Unconditional standard error, which considers model selection uncertainty. The last column  
 333 is the percentage of variation due to model differences in model averaging.

Year	Abundance	$SE_{Un}$	Lower 95% CL	Upper 95% CL	%variation ms
2010-2011	313	41.65	232	395	32.61%
2011-2012	284	36.39	213	366	39.71%
2012-2013	256	40.30	177	338	56.88%
2013-2014	276	30.09	217	357	20.48%
2014-2015	242	34.38	175	324	37.20%
2015-2016	211	43.29	126	293	42.72%

334

### 335 **3.2 | Estimates of survival**

336 The top ranked model was  $\phi_{(a2-.).}p(t)$  with a QAICc weight of 0.93 and a QDeviance = 106.4 (Table 6).

337 Overall, the two-class structure (“transients” and individuals seen multiple times, see Methods for  
 338 definitions) for survival yielded a good fit, especially for more parsimonious models with less complexity.

339 A model with time dependence in both parameters without the two-class approach yielded a good fit as  
 340 well but was not ranked as high due to its higher parameter count (Model III). The two-class structure  
 341 was also used for recapture probability but the models that incorporated it did not rank as high (Table 6).

342 The survival estimate for the transient class in the top-ranked model was  $\phi_t = 0.68$  (SE = 0.061, 95% CI  
 343 [0.55– 0.78]), while the multiple recaptures class had a  $\phi_m = 0.915$  (SE = 0.034, 95% CI [0.819– 0.962]).

344

345 **TABLE 6** Cormack-Jolly-Seber models with descriptive statistics ranked by QAICc. The  $a_2$  notation  
 346 separates the parameter of survival for the first time an individual whale was sighted from the  
 347 parameter for whales seen multiple times.

Rank	Model	#par	QAIC <sub>c</sub>	ΔQAIC <sub>c</sub>	Weight	QDeviance
I	$\phi_{(a_2-.)}p(t)$	9	688.8398	0	0.93337	106.4257
II	$\phi_{(a_2-.)/t}p(t)$	14	696.1809	7.3411	0.02377	103.0596
III	$\phi_{(t)}p(t)$	13	696.4583	7.6185	0.02069	105.5033
IV	$\phi_{(a_2-.)}p_{(a_2-t/t)}$	15	697.4073	8.5675	0.01287	102.107
V	$\phi_{(a_2-t)/t}p(t)$	15	698.3168	9.477	0.00817	103.0165
VI	$\phi_{(a_2-t/t)}p(t)$	19	702.2541	13.4143	0.00114	98.1088

348

349 The survival probability estimates were lower for the transient class than for the “multiple recaptures”  
 350 class as expected, which reflects the fact that transients likely emigrated permanently from the study  
 351 area. Although model averaged estimates were explored, they were not informative due to the large  
 352 influence of the top model QAICc weight. In the POPAN models developed, the model-averaged survival  
 353 estimates for the intermediate years were distributed between 0.827 to 0.881 with a lower 95% CL of  
 354 0.638 and an upper 95% CL of 0.951, which are similar to the values obtained from CJS.

355 The probability of recapture is reported for the top CJS model as well (Table 7). Even though the two-  
 356 class strategy was used for recapture probability, those models did not rank well in our results. The time  
 357 dependent  $p$  in the top-ranked model had values between 0.12 and 0.78 in their 95% CIs. All the means  
 358 obtained were above 0.2, which is relevant in connection with the bias due to the time length of each  
 359 sampling period in comparison to the time between successive sampling events (Table 7).

360

361 **TABLE 7.** Probability of recapture obtained from the top- ranked CJS model. Estimates are not shown for  
 362 the first or last sampling periods because they are unreliable.

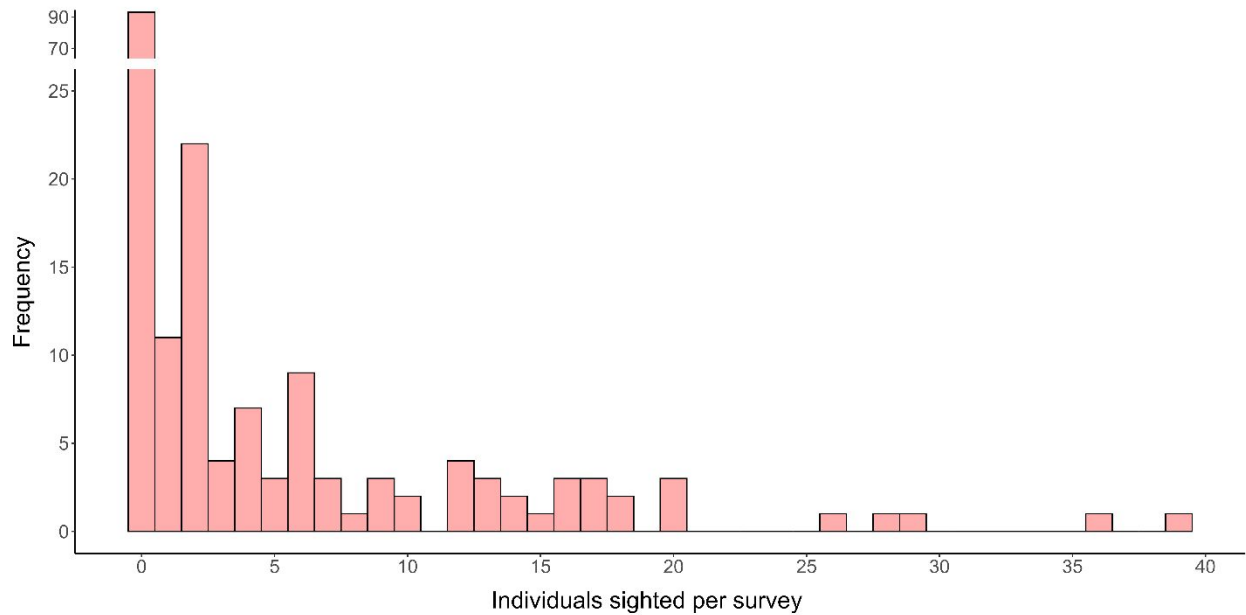
Year	$p$	SE	Lower 95% CL	Upper 95% CL
2011	0.31	0.07	0.19	0.46
2012	0.21	0.06	0.12	0.34
2013	0.25	0.06	0.16	0.37
2014	0.66	0.07	0.51	0.78
2015	0.48	0.07	0.35	0.61
2016	0.46	0.07	0.33	0.60

363

### 364 3.3 | Time series analysis

365 The number of fin whales sighted per survey ranged from 0 to 39, with most surveys sighting no  
 366 individuals (Fig. 5). The group with the most frequent sightings comprised two individuals, while we  
 367 observed only twelve calves throughout the study period.

368



369  
370 **FIGURE 5** Frequency distribution of the count data (number of whales sighted per survey) for the analysis  
371 conducted in the 2012-2017 period.

372  
373 The best-ranked time-series model of 27 alternatives presented a negative binomial likelihood, as did the  
374 next five models, and included a long-term trend represented by a second-degree polynomial function of  
375 time for Julian days, which is a random seasonal effect of the bimester of the year and an autoregressive  
376 effect of Order 4, operating as the interannual component (Table 8).

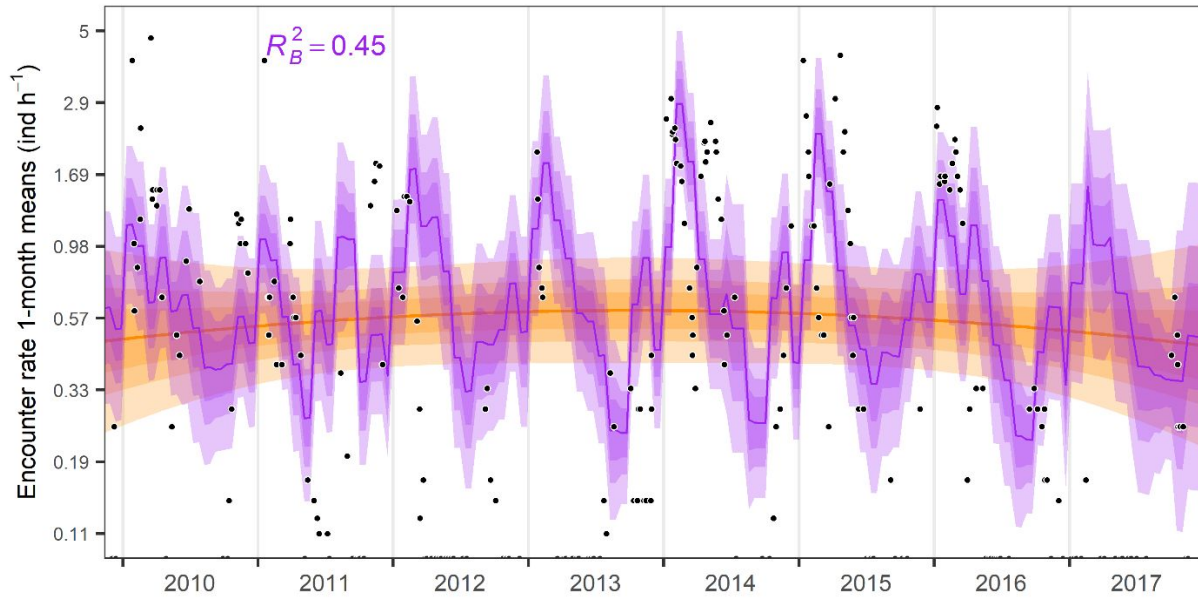
377  
378  
379  
380  
381

382 **TABLE 8** Time series model alternatives for fin whale encounter rates ( $ER$ ) in the Eastern Midriff Islands  
 383 Region, Gulf of California. The models consider the fixed effects of time (as represented in Julian days -  
 384  $JD$ ), the random seasonal effects ( $s$ ) of the bimester of the year ( $BY$ ), the autoregressive effects ( $ar$ ) of  
 385 the Julian bimester ( $JB$ ), and the autoregressive effects on a yearly ( $Y$ ) scale. The indices of the  
 386 autoregressive effects denote the order of the effect in the corresponding time scale. A mean predictive  
 387 integral transform (PIT) lower than 0.5 indicates some over estimation. The models are sorted from  
 388 lowest to highest on the Watanabe-Akaike Information Criterion (WAIC), while the difference between  
 389 each model's WAIC scores and the best model is shown in the last column.

No.	Formula	PIT	WAIC	$\Delta$ WAIC
1	$\log(ER) \sim JD + JD^2 + s(BY) + ar_1(JB) + ar_3(Y)$	0.3058	7285.969	0
2	$\log(ER) \sim JD + JD^2 + s(BY) + ar_2(JB) + ar_4(Y)$	0.3059	7286.123	0.154
3	$\log(ER) \sim JD + JD^2 + s(BY) + ar_2(JB) + ar_2(Y)$	0.3059	7286.129	0.16
4	$\log(ER) \sim JD + JD^2 + s(BY) + ar_2(JB) + ar_1(Y)$	0.3057	7286.306	0.337
5	$\log(ER) \sim JD + JD^2 + s(BY) + ar_1(JB) + ar_2(Y)$	0.3057	7286.393	0.424
6	$\log(ER) \sim JD + JD^2 + s(BY) + ar_1(JB) + ar_4(Y)$	0.3056	7286.482	0.513
7	$\log(ER) \sim JD + JD^2 + s(BY) + ar_2(JB) + ar_3(Y)$	0.3058	7286.629	0.66
8	$\log(ER) \sim JD + s(BY) + ar_2(JB) + ar_2(Y)$	0.3064	7286.672	0.703
9	$\log(ER) \sim JD + s(BY) + ar_2(JB) + ar_3(Y)$	0.3063	7286.69	0.721
10	$\log(ER) \sim JD + JD_2 + s(BY) + ar_1(JB) + ar_1(Y)$	0.3057	7286.796	0.827

390  
 391 The best model explained 45% of the total variance and captured the general patterns observed in the  
 392 data, including important increases in encounter rates for the cold seasons of 2010, 2013-2014, and  
 393 2014-2015 (Fig. 6). The long-term predictions showed a peak in 2013 and a steady decrease from 2014 to  
 394 2017.

395

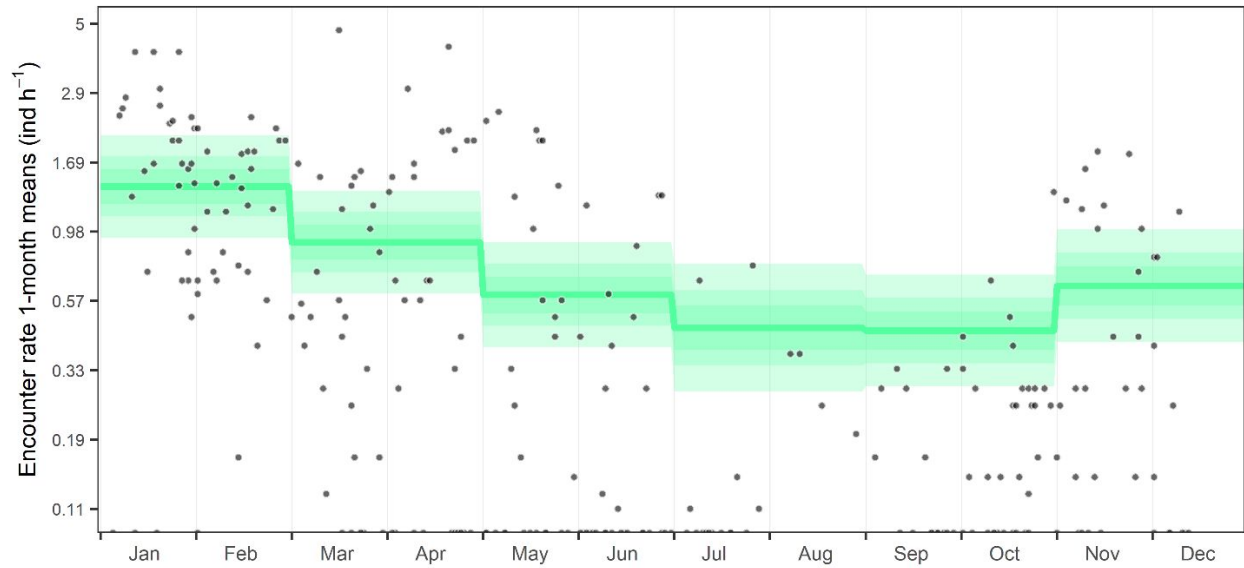


396 **FIGURE 6** Time series for fin whale encounter rates (logarithmic scale, black dots). The purple ribbons  
 397 (95%-, 75%-, and 50%-CI in incremental darkness) and purple line (median) represent the global  
 398 predictions of the best model, including all corresponding effects. The orange ribbon (95%-, 75%-, and  
 399 50%-CI in incremental darkness) and orange line (median) represent the partial long-term trend only.

400

401 On the seasonal scale, the partial predictions showed an increase in encounter rates for November-  
 402 December, which lasted until March-April and reached a peak in January-February (Fig. 7).

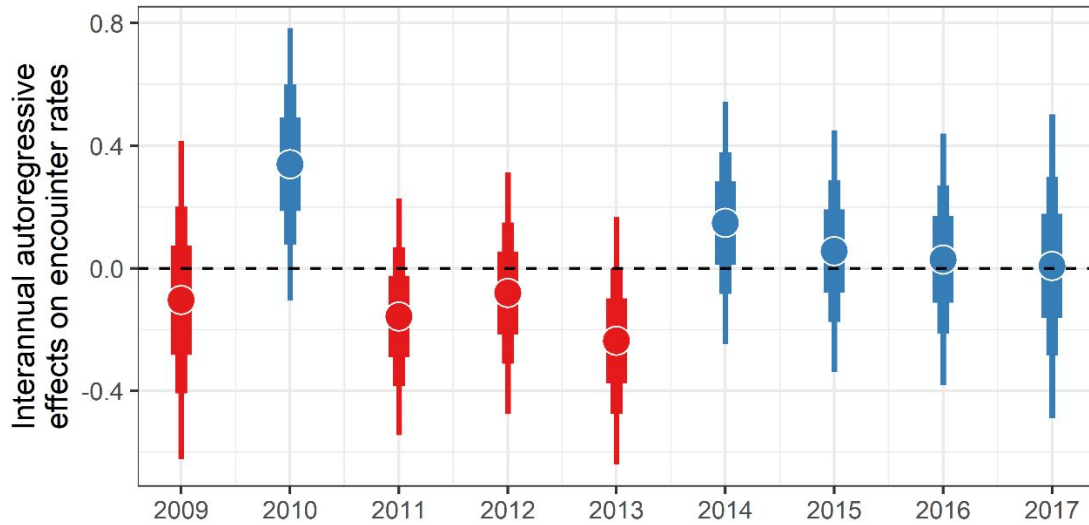
403



404  
 405 **FIGURE 7** Partial seasonal effects of the bimester of the year on fin whale encounter rates. The green line  
 406 corresponds to the median prediction and the green ribbons correspond to the 95%-, 75%-, and 50%  
 407 credible intervals, in incremental darkness.

408  
 409 The interannual autoregressive effects on fin whale encounter rates were positive in 2010 and 2014-  
 410 2017; whereas the periods 2009 and 2011-2013 presented negative effects (Fig. 8).

411



412

413 **FIGURE 8.** Interannual autoregressive effects on fin whale encounter rates (1-month running means),  
 414 thus representing the interannual variations. Vertical bars show the median value only.

415

#### 416 **4 | DISCUSSION**

417 Our superpopulation abundance estimate ( $\hat{N} = 462$  ( $SE_{Un} = 41.45$ , 95%CI [381 – 543]), which is the  
 418 number of fin whales that visited the study area during the years 2009-2017, is similar to most of the  
 419 previous abundance estimates. It is lower than the 829 (95% CI [594-3,229]) obtained from distance  
 420 sampling in the entire Gulf of California (Gerrodette & Palacios, 1996). However, it is comparable to the  
 421 estimate of 659 (95% CI [458-1043]) based on mark-recapture models from surveys undertaken mostly  
 422 on the western coast of the gulf and a few surveys in Kino Bay (Díaz-Guzmán, 2006). The value is well  
 423 within the range of the estimate of 572 (95% CI [106-2,338]) derived from genetic data from tissue  
 424 samples collected in the northern and southwestern gulf (Montesinos-Laffont, 2016). Also, there is an  
 425 unpublished POPAN abundance estimate of 325 individuals (95% CI [248-427]) for the Gulf of California  
 426 using photo-identification data from 2010-2015 from different regions, not just the EMIR (Pardo et al.,

427 2017). This report also presented abundance estimates with other methods like aerial distance sampling  
428 and mark-recapture with genetic data, reporting a historic abundance estimate of 346 individuals (95%  
429 CI [170-750]) (Pardo et al., 2017). All these estimates are close to the value presented in this work. We  
430 conclude that a large percentage of the resident fin whale population passed through the EMIR during  
431 our study period.

432 Regarding the Jolly-Seber model's assumption of equal probability of capture for both marked and  
433 unmarked individuals, we did not find evidence for a lack of fit to the CJS model. However, we  
434 experimentally observed that even though there was no statistical evidence in GOF testing of issues with  
435 transience nor trap dependence, the inclusion of transience to our model improved the fit and QAICc  
436 ranking of those models in comparison to the ones that did not, generating better real and derived  
437 estimates.

438 In terms of the identifiability of the photo-identifications used for the photo-comparison process, we  
439 relied not only on dorsal fin shape and nicks but also on scars and skin pigmentation patterns. We do  
440 acknowledge that we did not include a separate photo for the chevron and blaze for each individual. In  
441 our experience, the dorsal area around the dorsal fin seems to contain enough information for reliable  
442 photo-comparisons in this population. Given both the potential for human error during the photo-  
443 comparison and potential issues with the quality of the photographs, we only analyzed digital  
444 photographs of the highest quality (see *Methods*). We did address the issue of some individuals being  
445 more distinctive than others by categorizing and describing the characteristics of each photograph in our  
446 catalog following recommendations in the literature (Friday et al., 2000; Urian et al 2014) and removing  
447 the individuals with photo-identifications having low quality and distinctiveness (Q3 and D3 respectively)  
448 from mark-recapture analysis. We note, however, that photo-identifications with Q3 quality still satisfied  
449 our minimum requirements for inclusion in the photo-comparison process.

450 We additionally calculated a mark ratio to address the issue of identifiability, looking to reduce potential  
451 sources of bias on our estimates, by removing about half of the individuals in our catalog, those with the  
452 lowest quality photographs and distinctiveness. We later used the mark ratio to inflate our estimates as  
453 is recommended in the literature (Urian et al., 2015), recognizing the problem of how some whales are  
454 easier to match than others. With regard to the duration of the capture events when compared to the  
455 time interval that elapsed between them, in the present study each sampling occasion (ten to eleven  
456 months) was longer than the interval between them (one month). Thus, violation of this assumption  
457 could have biased our estimates. However, as reported in a simulation study (O'Brien et al., 2005),  
458 violation of this assumption increases precision and does not significantly increase bias in parameter  
459 estimates, as long as the recapture probability is higher than 0.2, which was the case for the present  
460 study. The aforementioned authors recommended using sampling periods that maximize sample size  
461 and increase recapture rate, which we did here. Additionally, regarding the assumption of individuals  
462 being a random sample of the population visiting the region, goodness-of-fit testing showed no evidence  
463 of transience. However, including transience into our models yielded better explanatory power to our  
464 models. This meant that even though GOF testing did not statistically detect evidence of transience in  
465 our data, it was still present. This difference in behavior can bias survival and abundance estimates so it  
466 was addressed in our modelling to reduce its impact. During the surveys, there was no indication to  
467 photograph some whales more than others. The objective was to photograph as many different  
468 individuals in each sighting as was practically possible.

469 Previous mark-recapture studies conducted on this population in the Gulf of California did not report  
470 survival estimates. Given that the abundance obtained by the use of the POPAN model depends on both  
471 how many animals enter the population over time and how many of them survive, a bias in  $\phi$  would  
472 impact estimates of  $N$  (Schwarz & Arnason, 1996; Williams et al., 2002). The survival estimates obtained  
473 from the CJS model, which explicitly model transience, were comparable to the ones obtained from our

474 POPAN model. The “multiple recaptures” class in the CJS model had a  $\phi = 0.915$  (SE = 0.034, 95% CI  
475 [0.819– 0.962]). In comparison to other studies, the survival estimates obtained here are lower than  
476 what has been estimated for fin whales in the Gulf of St Lawrence in Canada with means of 0.946 (95%  
477 CI [0.91-0.967]) (Schleimer et al., 2019) and 0.955 (95% CI [0.936 – 0.969]) (Ramp et al., 2014). The value  
478 is closer to what has been estimated for the northwestern Mediterranean, a value of 0.916 (95% CI [0.773  
479 – 0.972]) (Zanardelli et al., 2022), with the difference that our confidence limits are narrower. It is worth  
480 noting that this value is relatively low for what is expected for such a long-lived species.

481 Given the foregoing, it could be concluded that our study area represents an important corridor for the  
482 fin whales, and some of them show preference to stay in the area longer and visit it more often. Some  
483 studies contribute to this fact, indicating that the Midriff Islands Region is an important corridor for the  
484 species. It should be noted that eight fin whales that were satellite-tagged in the southwestern Gulf of  
485 California have visited the EMIR when moving farther north (Jiménez-López et al., 2019). However, it is  
486 unknown both whether there is a substructure in the population and whether part of it only inhabits the  
487 southwestern gulf.

488 The annual abundance estimates for the years 2010-2016 showed overlap in their confidence limits  
489 suggesting stability in the area. It is worth noting that true abundance may be varying substantially by  
490 either inter-annual variability or a positive-negative trend, or a combination of both factors. The INLA  
491 analysis did show a slight decrease in the long-term temporal trend. However, these results indicate that  
492 this area has served as an important aggregation site for fin whales every year of this study.

493 A 2000-2021 time series analysis revealed that the sea surface chlorophyll-*a* anomaly in the Gulf of  
494 California was mostly negative from 2013 to 2019, aside from in the Midriff Islands Region in January-  
495 March 2014, October 2014, and March 2015 (García-Fernández et al., 2023). In data obtained from 2002  
496 to 2019, the EMIR showed a higher chlorophyll-*a* concentration than other regions (the north, center,

497 south) of the eastern Gulf of California but then presented a decreased concentration in 2013 (Robles-  
498 Tamayo et al., 2020). García-Fernández et al. (2023) also reported that Euphausiids, the main prey for fin  
499 whales in the gulf, presented a low relative abundance in 2013, which increased progressively until 2019.  
500 The 2013-2014 period presented the most sightings and photo-identifications and was also the year  
501 during which the most recaptures were recorded by the present study. However, as indicated by the  
502 capture-recapture estimates, the abundance obtained showed a constant trend, suggesting that the area  
503 continues to be used as an aggregation site in years with chl-*a* changes. The Midriff Islands Region has  
504 been described as a refuge for marine megafauna during El Niño years (Ladrón-De-Guevara et al., 2015;  
505 Tershy et al., 1991), when most of the Gulf of California retains positive sea surface temperature  
506 anomalies (Escalante et al., 2013; Lavín et al., 2003) and low primary productivity (Escalante et al., 2013;  
507 García-Fernández et al., 2023; Hakspiel-Segura et al., 2022).

508         The seasonal variation model applied by the present study showed that fin whales were more  
509 abundant during the cold season, particularly from January to March, while the lowest encounter rates  
510 were found to occur in June (cold-warm season transition) and July (warm season). This coincides with  
511 the results of a telemetry study, which reported that two out of eight satellite-tagged fin whales visited  
512 the EMIR during the cold season. Interestingly, most of the observations were related to foraging  
513 behavior (Jiménez-López et al., 2019), which coincides with the surface lunge feeding behavior observed  
514 in 9.7% of the fin whale sightings registered by the present study. Moreover, peak fin whale encounter  
515 rates in the Ballenas Channel, in the western Midriff Islands Region, occur during the warm season  
516 (Ladrón-De-Guevara et al., 2015), which coincides with the decrease in the occurrence of fin whales  
517 observed in our study area and may suggest a seasonal movement from east to west within the Midriff  
518 Islands Region.

519 The higher abundance of fin whales observed during the cold season than the warm season in the EMIR  
520 probably corresponds to the highly seasonal upwelling regime of the Gulf of California's eastern coast

521 (Escalante et al., 2013; Hakspiel-Segura et al., 2022; Lluch-Cota, 2000; Robles-Tamayo et al., 2020;  
522 Santamaría-del-Ángel et al., 1994). During the cold season, strong northwesterly winds cause upwelling  
523 on the eastern coast (Lluch-Cota, 2000) and, as a consequence, the sea surface temperature is lower and  
524 phytoplankton production is higher than during the warm season (Álvarez-Molina et al., 2013).

525 The superpopulation estimate and the temporal trends in encounter rates for fin whales in the EMIR  
526 confirm that it is an important seasonal aggregation site and a hotspot for the species, on an interannual  
527 scale, in the Gulf of California, as has been stated for the Midriff Islands Region by previous studies (Díaz-  
528 Guzmán, 2006; Enríquez-Paredes, 1996; Ladrón-De-Guevara et al., 2015; Tershy et al., 1990; Pardo et al.,  
529 2017). Protected by Mexican law (SEMARNAT, 2019), fin whales are a conservation priority. Our results  
530 provide additional information on the ecology of this important species in the EMIR, thus we  
531 recommend it should be considered in future conservation plans for fin whales in the Gulf of California.

532

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549

## 550 **AUTHOR CONTRIBUTIONS**

551 **Joel A. Arredondo-Sáinz:** Investigation; formal analysis; visualization; writing—original draft. **Héctor**  
552 **Pérez-Puig:** Conceptualization; data curation; funding acquisition; investigation; methodology; formal  
553 analysis, project administration; resources; supervision; writing—review and editing. **Mario A. Pardo:**  
554 Formal analysis, methodology, software, supervision, writing—review and editing. **Gisela Heckel:**  
555 Conceptualization; methodology; project administration; supervision; writing—original draft; writing—  
556 review and editing.

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814

#### 815 **DATA AVAILABILITY**

816 The datasets generated and/or analyzed during the present study are available from the corresponding  
817 author on reasonable request.

818

#### 819 **CODE AVAILABILITY**

820 The code used to analyze the capture histories using the POPAN model both with transience and for the  
821 time series data analysis, is available from the corresponding author on reasonable request.

822

#### 823 **SUPPORTING INFORMATION**

824 Additional supporting information can be found online in the Supporting Information section at the end  
825 of this article.

826

#### 827 **CONFLICT OF INTEREST**

828 The authors declare no conflict of interest.

For Peer Review

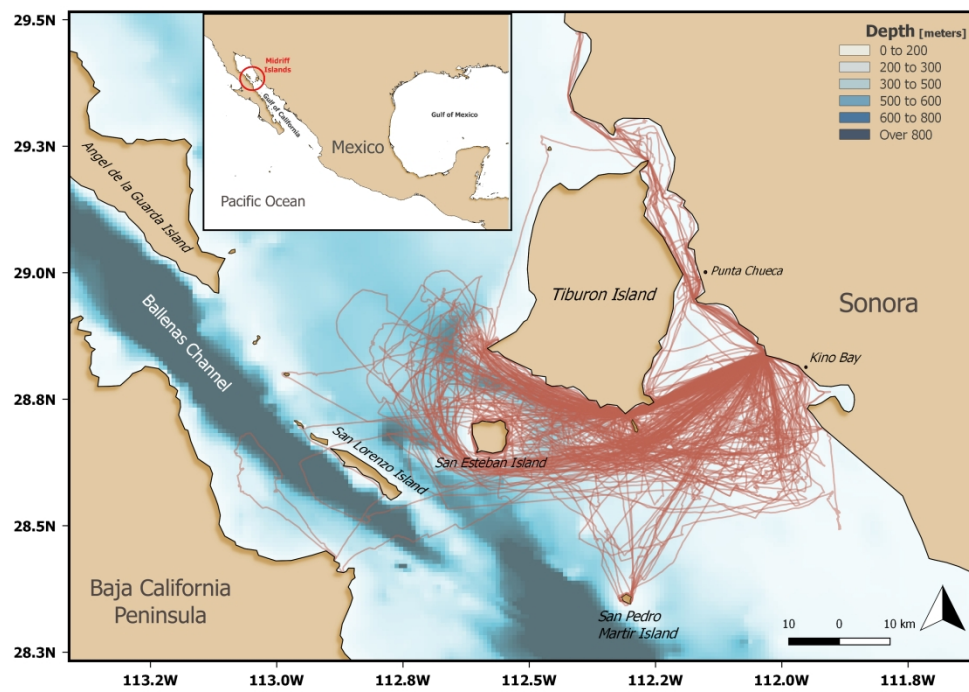


FIGURE 1 Study area in the Eastern Midriff Islands Region in the Gulf of California, Mexico. The GPS tracks in red represent the surveys undertaken from 2012 to 2017.

927x656mm (96 x 96 DPI)

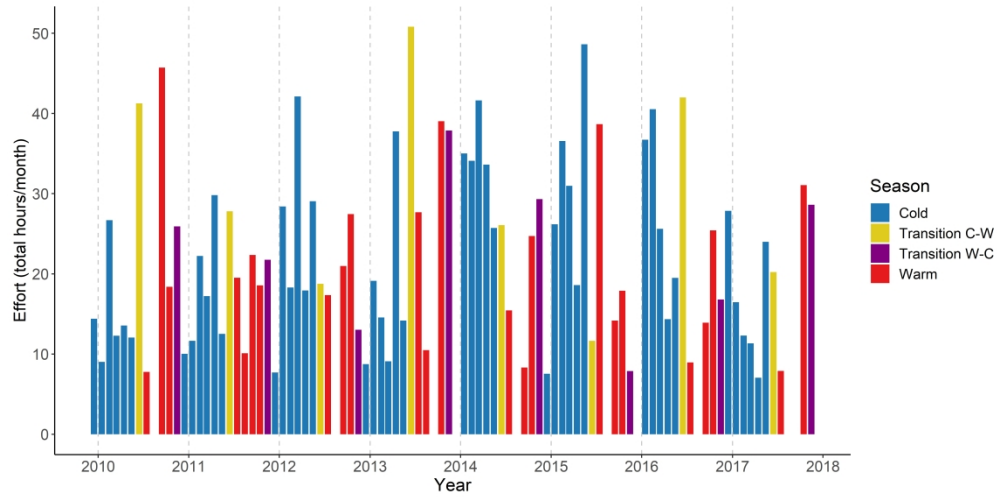


FIGURE 2 Search effort hours per month during the eight years comprising the present study (2009-2017). The data are colored depending on the seasons surveyed. Transition C-W = Transition from cold to warm season; Transition W-C = Transition from warm to cold season.

299x149mm (600 x 600 DPI)



FIGURE 3 Photo-identification recaptures for individual 121 - both left and right side of the dorsal fin. In this particular case, the scar midway up the dorsum helped to confirm the photo-identification recaptures of this individual.

158x158mm (96 x 96 DPI)

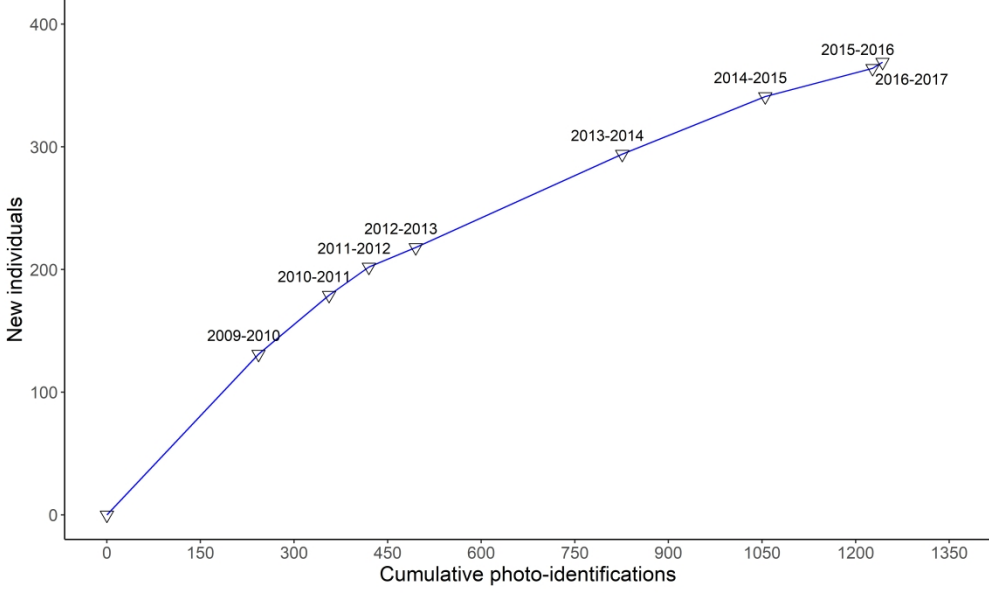


FIGURE 4 Discovery curve for cumulative photo-identified individuals, along with the rate of appearance for new individuals.

254x152mm (600 x 600 DPI)

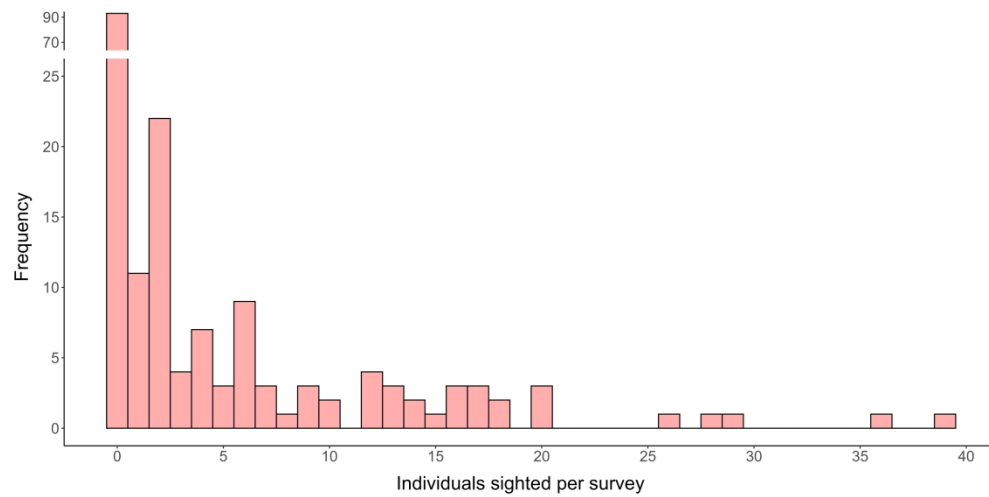


FIGURE 5 Frequency distribution of the count data (number of whales sighted per survey) for the analysis conducted in the 2012-2017 period.

355x177mm (300 x 300 DPI)

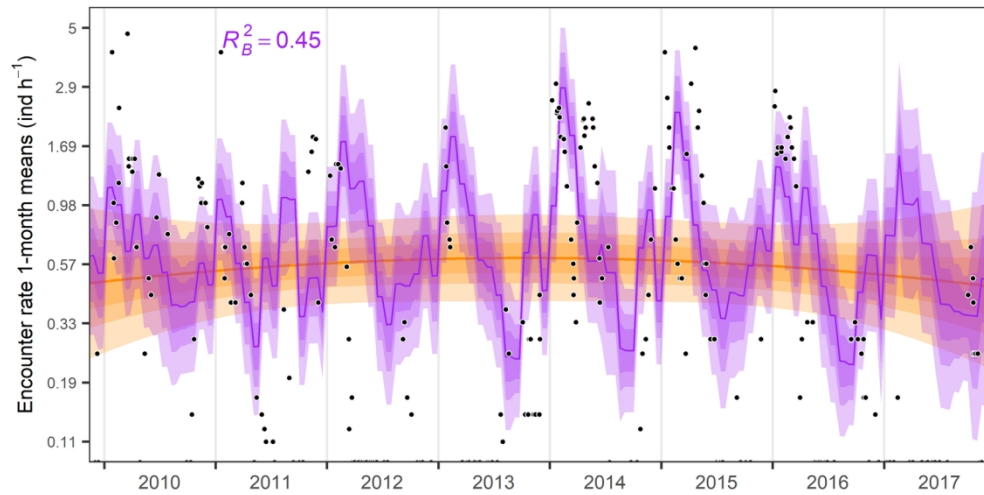


FIGURE 6 Time series for fin whale encounter rates (logarithmic scale, black dots). The purple ribbons (95%-, 75%-, and 50%-CI in incremental darkness) and purple line (median) represent the global predictions of the best model, including all corresponding effects. The orange ribbon (95%-, 75%-, and 50%-CI in incremental darkness) and orange line (median) represent the partial long-term trend only.

139x70mm (300 x 300 DPI)

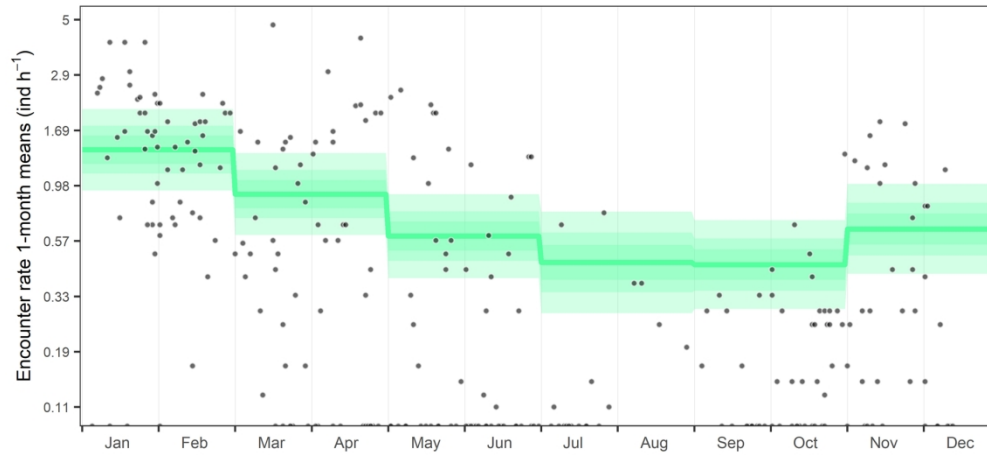


FIGURE 7 Partial seasonal effects of the bimester of the year on fin whale encounter rates. The green line corresponds to the median prediction and the green ribbons correspond to the 95%-, 75%-, and 50%-credible intervals, in incremental darkness.

169x78mm (300 x 300 DPI)

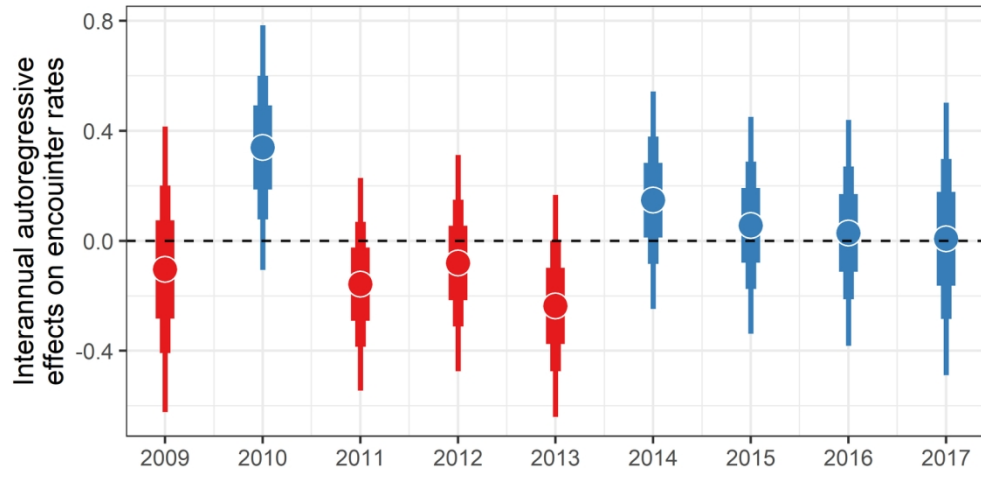


FIGURE 8. Interannual autoregressive effects on fin whale encounter rates (1-month running means), thus representing the interannual variations. Vertical bars show the median value only.

139x73mm (300 x 300 DPI)

**TABLE 1.** Fin type distribution for the Eastern Midriff Islands Region catalog. Total number of photo-identifications in the catalog was 559, with maximum two photos per individual (both sides of the dorsal fin). Total number of individuals in the catalog was 376. See Supporting Information 3 for fin type description.

Fin type	In catalog	%	No. with nicks	No. with scars	No. without nicks or scars
A	144	38.3	77	140	7
B	13	3.5	8	12	0
C	44	11.7	8	41	3
D	85	22.6	35	84	1
E	67	17.8	31	61	5
F	1	0.3	1	0	0
O	22	5.8	18	21	0

**TABLE 2** *m-reduced matrix*, resulting from the integration of the information pertaining to both sides of the dorsal fin into one catalog, detailing the information contained in the fin whale capture histories for the present study.  $i, j$  = years;  $R(i)$  = individuals observed;  $m(i, j)$  = individuals observed during  $i$  that were first recaptured during  $j$ ;  $r(i)$  = recaptures in  $i$ ; and  $m(j)$  = recaptures in  $j$ .

Year ( $i$ )	$R(i)$	$m(i, j)$							$r(i)$
		2010- 2011	2011- 2012	2012- 2013	2013- 2014	2014- 2015	2015- 2016	2016- 2017	
2009-2010	81	17	5	7	9	4	4	0	46
2010-2011	41		9	5	9	2	1	0	26
2011-2012	27			6	12	4	0	0	22
2012-2013	23				16	1	0	0	17
2013-2014	85					31	8	3	42
2014-2015	58						29	1	30
2015-2016	43							0	0
$m(j)$		17	14	18	46	42	42	4	

**TABLE 3** Goodness-of-fit test results for the Cormack-Jolly-Seber model per subcomponent.

TEST2.CT checks for trap dependence. The transient subcomponent 3.SR is shown per year. The only statistically significant  $p$ -value is highlighted in bold.

Test	Component	$\chi^2$	$df$	$p$ -value
General	TEST2.CT	9.71	5	0.084
General	TEST2.CL	2.865	6	0.826
General	TEST3.Sm	3.58	6	0.734
General	TEST3.Sr	9.65	5	0.086
3.Sr Sub C.	2011-2012	0.644	1	0.422
3.Sr Sub C.	2012-2013	0.345	1	0.557
3.Sr Sub C.	2013-2014	0.313	1	0.576
3.Sr Sub C.	2014-2015	2.028	1	0.154
3.Sr Sub C.	2015-2016	6.320	1	<b>0.012*</b>
3.Sr Sub C.	2016-2017	0	0	0

**TABLE 4** Jolly-Seber POPAN models, descriptive statistics, and QAIC<sub>c</sub> support for model selection.

Parameters:  $\phi$  = survival probability;  $p$  = capture probability;  $pent$  = entry probability; and  $N$  = abundance estimate. A dot in parentheses (.) indicates that the parameter is constant for all occasions, while (*time*) indicates time dependence, corresponding to a different parameter value for each occasion, (*T*) indicates a temporal linear trend.

Rank	Model	Parameters	QAIC <sub>c</sub>	ΔQAIC <sub>c</sub>	QAIC <sub>c</sub> weight	QDeviance
I	$\phi_{(trans:Time)}p_{(time)}pent_{(time)}N_{(.)}$	15	734.41	0	0.27272	703.0262
II	$\phi_{(trans)}p_{(time)}pent_{(time)}N_{(.)}$	15	734.81	0.4053	0.2227	703.4314
III	$\phi_{(trans+Time)}p_{(time)}pent_{(time)}N$	16	735.88	1.4679	0.13091	702.3051
IV	$\phi_{(trans)}p_{(time)}pent_{(T)}N_{(.)}$	13	736.96	2.5482	0.07628	709.9148
V	$\phi_{(trans:Time)}p_{(time)}pent_{(.)}N_{(.)}$	12	737.00	2.5944	0.07453	712.1125
VI	$\phi_{(trans:Time)}p_{(time)}pent_{(T)}N_{(.)}$	13	737.05	2.6419	0.07279	710.0084
VII	$\phi_{(trans)}p_{(time)}pent_{(.)}N_{(.)}$	12	737.05	2.6438	0.07272	712.1619
VII	$\phi_{(trans+Time)}p_{(time)}pent_{(Time)}N$	14	738.26	3.8515	0.03975	709.0541
VIII	$\phi_{(trans+Time)}p_{(time)}pent_{(.)}N_{(.)}$	13	738.37	3.9627	0.0376	711.3292

**TABLE 5** Jolly-Seber POPAN model averaged annual abundance estimates, inflated with the mark ratio ( $MR=0.48$ ). Unconditional standard error, which considers model selection uncertainty. The last column is the percentage of variation due to model differences in model averaging.

Year	Abundance	SE <sub>Un</sub>	Lower 95% CL	Upper 95% CL	%variation MS
2010-2011	313	41.65	232	395	32.61%
2011-2012	284	36.39	213	366	39.71%
2012-2013	256	40.30	177	338	56.88%
2013-2014	276	30.09	217	357	20.48%
2014-2015	242	34.38	175	324	37.20%
2015-2016	211	43.29	126	293	42.72%

**TABLE 6** Cormack-Jolly-Seber models with descriptive statistics ranked by QAIC<sub>c</sub>. The  $a_2$  notation separates the parameter of survival for the first time an individual whale was sighted from the parameter for whales seen multiple times.

Rank	Model	#par	QAIC <sub>c</sub>	ΔQAIC <sub>c</sub>	Weight	QDeviance
I	$\phi_{(a_2-. /.)} p(t)$	9	688.8398	0	0.93337	106.4257
II	$\phi_{(a_2-. /t)} p(t)$	14	696.1809	7.3411	0.02377	103.0596
III	$\phi_{(t)} p(t)$	13	696.4583	7.6185	0.02069	105.5033
IV	$\phi_{(a_2-. /.)} p_{(a_2-t/t)}$	15	697.4073	8.5675	0.01287	102.107
V	$\phi_{(a_2-t /.)} p(t)$	15	698.3168	9.477	0.00817	103.0165
VI	$\phi_{(a_2-t/t)} p(t)$	19	702.2541	13.4143	0.00114	98.1088

**TABLE 7.** Probability of recapture obtained from the top- ranked CJS model. Estimates are not shown for the first or last sampling periods because they are unreliable.

Year	$p$	SE	Lower 95% CL	Upper 95% CL
2011	0.31	0.07	0.19	0.46
2012	0.21	0.06	0.12	0.34
2013	0.25	0.06	0.16	0.37
2014	0.66	0.07	0.51	0.78
2015	0.48	0.07	0.35	0.61
2016	0.46	0.07	0.33	0.60

**Table S1** Search effort (surveys by year and hours by year and season) and sightings in the Eastern Midriff Islands Region, 2009-2017. TCW=Transition cold-warm season, and TWC= Transition warm-cold season.

	2009- 2010	2010- 2011	2011- 2012	2012- 2013	2013- 2014	2014- 2015	2015- 2016	2016- 2017	Total
Surveys	40	35	33	38	41	35	35	30	287
Cold (h)	88	103	144	104	170	169	137	99	1012
TCW (h)	41	28	19	51	26	12	42	20	239
Warm (h)	72	71	66	7	49	71	48	39	492
TWC (h)	26	22	13	38	29	8	17	29	182
Total (h)	227	223	241	269	274	259	244	187	1924
Sightings	89	31	34	28	128	68	67	10	454

## Supporting Information 2

Photo-quality grading criteria used in this work. It was based on the system used by the Sarasota Dolphin Research Program curated by Kim Urian, which derived the measures from Friday et al. (2000).

### *Photograph quality:*

Based on the quality of the photograph, independent of the distinctiveness of the fin.

The overall score is based on an evaluation and sum of the following characteristics (these scores are absolute values, not a sliding scale)

- Focus/Clarity

Crispness or sharpness of the image. Lack of clarity may be caused by poor focus, excessive enlargement, poor development or motion blur; for digital images, poor resolution resulting in large pixels.

2 = excellent focus; 4 = moderate focus; 9 = poor focus, very blurry

- Contrast

Range of tones in the image. Images may display too much contrast or too little. Photographs with too much contrast lose detail as small features wash out to white. Images with too little contrast lose the fin into the background and features lack definition.

1 = ideal contrast ; 3= either excessive contrast or minimal contrast

- Angle

Angle of the fin to the camera.

1 = perpendicular to camera 2 = slight angle 8 = oblique angle

- Partial

A partial rating is given if so little of the fin is visible that the likelihood of re-identifying the individual is compromised on that basis alone. Fins obscured by waves, *Xenobalanus*, or other whales, would be evaluated using this rating.

1 = the fin is fully visible, leading & trailing edge 8= the fin is partially obscured

- Proportion of the frame filled by the fin

An estimate of the percentage area the fin occupies relative to the total area of the frame.

1 = greater than 5%; subtle features are visible    5 = less than 1%; fin is very distant

To score *Overall Photographic Quality*, the scores for each characteristic are summed:

**Q1:** 6 - 9: Excellent quality

**Q2:** 10–12: Average quality

**Q3:** >12 : Poor quality

References:

Friday, N., Smith, T.D., Stevick ,P.T., Allen, J. (2000) Measurement of photographic quality and individual distinctiveness for the photographic identification of humpback whales, *Megaptera novaeangliae*. *Marine Mammal Science*, 16(2), 355-374. <https://doi.org/10.1111/j.1748-7692.2000.tb00930.x>

Sarasota Dolphin Research Program. (2005) *Field Techniques and Photo-Identification Handbook*. Chicago Zoological Society and Dolphin Biology Research Institute c/o Mote Marine Laboratory. Sarasota, Florida, USA.

### Supporting Information 3

Fin features used to grade distinctiveness

**Table S3.1** Fin type shape descriptions (Agler et al., 1990).

Type	Description
A	Large and broad
B	Long, thin and pointed
C	Small and triangular, trailing edge straight or perpendicular to the body
D	The leading edge was bent posteriorly and/or the fin was very hooked
E	Short low versions of type A
F	All have humps on the back of the whale anterior to the insertion of the dorsal fin
O	All remaining fins – including whales with no fins or fins that were difficult to categorize

**Table S3.2** Scar type descriptions (Agler et al., 1990).

Code	Type	Description
L	Linear	Lines less than two inches wide
S	Scrape	Line markings greater than 2 inches wide
C	Circular	Round or circular shapes of any size
D	Dent	Any depression
T	Tracks	Linear scar intersected by perpendicular lines – probably caused by a small boat propeller.
B	Braid	Large propeller scars that appear raised and often braided
P	Piece missing	Piece or chunk missing from the body, usually the caudal peduncle
A	Attachment	Usually a parasitic copepod, lamprey, etc.

Reference:

Agler, B.A., Beard, J.A., Bowman, R.S., Corbett, H.D., Frohock, S.E., Hawvermale, M.P., Katona, S.K., Sadove, S.S., & Seipt, I.E. (1990). Fin whale (*Balaenoptera physalus*) photographic identification: methodology and preliminary results from the western north Atlantic. *Reports of the International Whaling Commission (Special Issue 12)*, 349-356.